



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Pai-Hung Pan

Serial No.: 09/072,959

Filed: May 5, 1998

For: TECHNIQUE FOR FORMING
SHALLOW TRENCH ISOLATION
STRUCTURE WITHOUT CORNER
EXPOSURE AND RESULTING
STRUCTURE

Examiner: G. Fourson

Group Art Unit: 2823

Attorney Docket No.: 2919.1US (96-499.1)

CERTIFICATE OF MAILING

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail (under 37 C.F.R. § 1.8(a)) on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

July 19, 2000
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Deidra Pfeil
Typed/printed name of person whose signature is contained above

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the document listed on attached Form PTO-1449 be considered by the Examiner and made of record. The listed documents are from co-pending application Serial No. 08/789,470, filed January 27, 1997. A copy of the listed document is enclosed pursuant to 37 C.F.R. § 1.98(a).

In accordance with 37 C.F.R. § 1.97(g) and (h), filing of this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as

#10.
Prior Art
FJONES
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defined in 37 C.F.R. § 1.56(b). Further, no representation is made by Applicant herein that no other possible material information as defined in 37 C.F.R. § 1.56 (b) exists.

DOCUMENTS

U.S. Patent Documents

<u>U.S. Patent No.</u>	<u>Issue Date</u>	<u>Inventor</u>
5,506,168	04/1996	Morita et al.

Applicant offers to supply any explanation or discussion of the document which the Examiner feels is necessary or desirable and which is requested.

This Supplemental Information Disclosure Statement is filed after the mailing date of the first Office Action on the merits. I hereby certify that no item of information contained in the Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the statement.

Respectfully submitted,

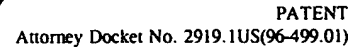


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Date: July 19, 2000

BGP/sls:djp

Enclosures: Form PTO-1449
Copy of documents cited



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: G. Fourson

Group Art Unit No.: 2823

Applicant(s): **Pai-Hung Pan**

Filing date: April 30, 1998

Serial No.: 09/072,959

**For (title): TECHNIQUE FOR FORMING SHALLOW TRENCH
ISOLATION STRUCTURE WITHOUT CORNER
EXPOSURE AND RESULTING STRUCTURE**

COMMUNICATION TRANSMITTAL

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed for filing in connection with the above-identified patent application, and submitted in the order listed, are:

- ☒ Postcard receipt acknowledgment (attached to the front of this transmittal).
- ☒ Duplicate copy of this transmittal sheet in the event that additional filing fees are required under 37 C.F.R. § 1.16. Any such fees may be charged to deposit account no. 20-1469.
- ☐ Check no. in the amount of \$ for the presentation of extra claims as calculated in the remarks section below.
- ☐ Preliminary amendment.
- ☒ Amendment or other communication in response to the non-final office action mailed April 25, 2000.
- ☐ Amendment or other communication under 37 C.F.R. § 1.116 in response to the final office action mailed .
- ☐ Petition for Extension of Time in duplicate with check no. in the amount of \$.
- ☐ Verified statement(s) to establish small entity status under 37 C.F.R. § 1.9 and 37 C.F.R. § 1.27 signed by (or on behalf of) .
- ☒ Supplemental Information disclosure statement and information disclosure citation form PTO-1449 with copies of listed documents.

Remarks:

- ☐ An amendment has been made involving one or more claims in the application. The calculation to determine whether any additional fee is due is presented below.

	1	2	3	\$EXTRA
Total claims	22	- 52	= 0 x 18.00	= 0.00
Indep. claims	4	- 4	= 0 x 78.00	= 0.00
First presentation of a multiple dep. claim (+260.00)				
SUBTOTAL				
Reduction for small entity - 50% of subtotal*				
TOTAL ADDITIONAL FEE (subtotal minus any reduction)				0.00

*Verified statement(s) must be attached to support this reduction if small entity status has not been previously established.

- 1 Claims remaining after amendment.
2 Highest number of claims previously paid for. Not less than 20 for total claims and 3 for independent claims.
3 Difference between claims remaining and highest number previously paid for. If less than zero, enter "0."

- ☒ The commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.16 and 1.17 to deposit account no. 20-1469 during the entire pendency of this application.

Respectfully submitted,

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Date: July 19, 2000
Enclosures: As identified above
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